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| FORM PTO-1390 (REV. 10-2003) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER 35355/53 |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/531276 |
| INTERNATIONAL APPLICATION NO PCT/JP2003/013273 | INTERNATIONAL FILING DATE 13 October 2003 | PRIORITY DATE CLAIMED: 22 October 2002 |
| TITLE OF INVENTION ORGANIC POLYMER HAVING EPOXY-GROUP-CONTAINING SILICON GROUP AT END AND PROCESS FOR PRODUCING THE SAME | | |
| APPLICANT(S) FOR DO/EO/US KOHNO, Yoshiyuki; ANDO, Hiroshi | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. <input checked="" type="checkbox"/> The US has been elected (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been communicated by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been communicated by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input checked="" type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A preliminary amendment. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. <input type="checkbox"/> A substitute specification. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Other items or information: Cover sheet of published PCT application, PCT Search Report | | |

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | INTERNATIONAL APPLICATION NO PCT/JP2003/013273 | ATTORNEY'S DOCKET NUMBER 35355/53 | |
| 21. The following fees are submitted: | | | |
| <input checked="" type="checkbox"/> a) Basic National Fee \$ 300.00 \$ 300.00 <input checked="" type="checkbox"/> b) Examination Fee \$ 200.00 \$ 200.00 <input checked="" type="checkbox"/> c) Search Fee \$ 500.00 \$ 500.00 | | | |
| TOTAL OF ABOVE CALCULATIONS \$1000.00 \$1,000.00 | | | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing on computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | |
| 55 | /50=0 | 0 | |
| x \$250 \$ -0- | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$ | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE |
| Total Claims | 9 -20 = | 0 | x \$50.00 -0- |
| Independent Claims | 1- 3 = | 0 | x \$200.00 \$ -0- |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$360.00 \$ -0- |
| TOTAL OF ABOVE CALCULATIONS | | | = \$1,000.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | |
| | | | SUBTOTAL = \$1,000.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | |
| | | | TOTAL NATIONAL FEE = \$1,000.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | |
| | | | \$ 40.00- |
| | | | TOTAL FEES ENCLOSED = \$1,040.00 |
| | | | Amount to be refunded: \$ |
| | | | Amount to be charged \$ |
| a. <input type="checkbox"/> | A check in the amount of \$ _____ to cover the above fees is enclosed. | | |
| b. <input checked="" type="checkbox"/> | Please charge my Deposit Account No. <u>11-0600</u> in the amount of \$1,040.00 to over the above fees. A duplicate copy of this sheet is enclosed. | | |
| c. <input checked="" type="checkbox"/> | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>11-0600</u> . A duplicate copy of this sheet is enclosed. | | |
| d. <input type="checkbox"/> | Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | |
| SEND ALL CORRESPONDENCE TO: KENYON & KENYON 1500 K Street, N.W., Suite 700 Washington, DC 20005 CUSTOMER NO. 23838 | |  SIGNATURE (Reg. No. 48,361) NAME Cassandra T. Swain, Ph.D. <hr/> DATE: 13 April 2005 | |